

Protecting Your Legal Firm From AMLA Exposure And Penalties: Mastering AML/CFT Obligations, Navigating DCR And Automating Compliance



3 CPD
POINTS

Key Seminar Topics:

- The DNFBPs' Challenge: Analysing why lawyers are considered "high-risk gatekeepers" and how to close the gaps in risk awareness identified by international assessors.
- The AML/CFT Obligations: A structured overview of the current statutory duties for Reporting Institutions, including: (1) Customer Due Diligence (CDD): The "Who, What and How" of verifying individual and corporate clients; (2) Risk-Based Approach (RBA): Identifying and assessing firm-level and client-level risks; (3) Suspicious Transaction Reporting (STR): When to report and what to report.
- Beneficial Ownership Transparency: Essential steps for identifying the ultimate controllers of legal persons and legal arrangements.
- Targeted Financial Sanctions: Navigating the mandatory requirements for sanctions screening against United Nations Security Council Resolutions (UNSCR) and domestic lists.
- Record Keeping and Compliance Culture: Understanding Bank Negara Malaysia's (BNM) expectations for internal policies and document retention.
- Data and Compliance Report (DCR): A step-by-step practical guide to answer the questions in the DCR.
- Digital Transformation in AML/CFT: Leveraging Technology for Automated AML/CFT Compliance and Risk Management.



Yong Li Yang
Speaker

Yong Li Yang is a legal professional with experience in litigation, compliance and technology. He holds an LLB (Hons) from University of Malaya and is a senior litigation lawyer in Messrs Rohani, Soon & Associates.

He is currently involved in navigating complex legal landscapes and implementing innovative technological solutions to ensure organisations maintain the highest standards of compliance. His passion for streamlining legal processes and ensuring regulatory compliance led him to establish Legal Compliance Tech Sdn. Bhd., a company dedicated in providing innovative and effective software solutions.

**10TH
SEPTEMBER
2026**

2.30PM - 5.30 PM

**AUDITORIUM
SELANGOR BAR**

**LIMITED TO 100 PAX
ONLY**

Registration Fee:

- **Members of the Bar RM50.00**
- **Young Lawyers (3 Years & Below) RM25.00**
- **Pupils in Chambers RM20.00**
- **Non Members RM80.00**



Ron Ong
Moderator

Registration shall be confirmed upon receipt of the completed registration details and proof of payment. Places are limited and registrations will be accepted on a first-come, first-served basis. All registration fees paid are non-refundable. However, substitution by another participant is permitted, provided that the SBC is notified in writing or via email, together with the relevant details, at least two (2) days prior to the event. The SBC reserves the absolute right to modify, postpone or cancel the event for any reason whatsoever. Recording of the event by any means is strictly prohibited at all times. Should you have any enquiries, please contact the Secretariat at Tel: 03-5519 6219 or email: secretariat@sgorbar.org

